

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Robert Schroeck et al.

Serial No.: 10/599,869

Filed: October 12, 2006

For: PROCESS FOR THE CONTINUOUS PREPARATION  
OF SILICONE EMULSIONS

Group Art Unit: 1797

Examiner: Andrew Joseph Janca

Attorney Docket No.: WAS 0795 PUSA

**INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER 37 C.F.R. § 1.97(c) AND (e)(1)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance.

Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application (copy of communication enclosed) not more than three months prior to the filing of this Statement.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**Robert Schroeck et al.**

By: 

William G. Conger

Reg. No. 31,209

Attorney/Agent for Applicant

Date: Sept. 16, 2010

**BROOKS KUSHMAN P.C.**  
1000 Town Center, 22nd Floor  
Southfield, MI 48075-1238  
Phone: 248-358-4400  
Fax: 248-358-3351

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

ROBERT SCHRÖCK ET AL.

Serial Number: 10/599869

Group Art Unit: 1797

Filed: April 14, 2005

For: PROCESS FOR THE CONTINUOUS PREPARATION OF  
SILICONE EMULSIONS

**Information Disclosure Statement**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstr. 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in a corresponding Japanese Office Action.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For JP 2001220439 A a PAJ-abstract is enclosed, which is already in English language.

For JP 11058370 A a PAJ-abstract is enclosed, which is already in English language.

Signed June 23, 2010.

  
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Dr. Helmut Fritz